

Request for Proposal (RFP)

Ref. no. RFP 10/00189

Date: 5 July 2010

Dear Sir/Madam,

**Subject: RFP for the provision of consultancy services to support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision**

1. You are requested to submit a proposal for providing support to vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision as per enclosed Terms of Reference (TOR).
2. To enable you to submit a proposal, attached are:
  - i. Instructions to Offerors (Annex I)
  - ii. General Conditions of Contract (Annex II)
  - iii. Terms of References (TOR) (Annex III)
  - iv. Proposal Submission Form (Annex IV)
  - v. Price Schedule/Financial Proposal (Annex V)
3. Your offer comprising of technical proposal and price schedule/financial proposal, in separate sealed envelopes, marked with “**RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD**” should reach the UNDP office no later than **13 July 2010, 16:30**, local time.

Offers can be submitted either in hard copy or electronically.

a) Documents/offers in hard copy need to be addressed to:

**UNDP Moldova,  
131, 31 August 1989 Street, MD-2012 Chisinau, Republic of Moldova  
Attention: Registry Office/Procurement**

b) Offers sent electronically need to be addressed to the following e-mail address:

**tenders-Moldova@undp.org**

Offers shall be clearly marked with “**RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD**”

Contact person for clarifications: Alexandru Ursul ([alexandru.ursul@undp.org](mailto:alexandru.ursul@undp.org)), Project Manager

4. If you request additional information, we would endeavour to provide information expeditiously, but any delay in providing such information will not be considered a reason for extending the submission date of your proposal.
5. You are requested to acknowledge receipt of this letter and to indicate whether or not you intend to submit a proposal.

Yours sincerely,

Matilda Dimovska,  
Resident Representative a.i.



# **Annex I**

## **Instructions to Offerors**

### **A. Introduction**

#### **1. General**

The purpose of this Request for Proposal (RFP) is to solicit proposals from the qualified companies **to assist 5 rural communities of rayon Grigoriopol and town Camenca and 10 rural communities of rayon Camenca from Transnistria region, in:**

- (i) identifying actual development trends and priorities at the town and communities levels,
- (ii) elaborating Local Development Strategies and Action Plans.

#### **2. Cost of proposal**

The Offeror shall bear all costs associated with the preparation and submission of the Proposal, the UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the solicitation.

### **B. Solicitation Documents**

#### **3. Contents of solicitation documents**

Proposals must offer services for the total requirement. Proposals offering only part of the requirement will be rejected. The Offeror is expected to examine all corresponding instructions, forms, terms and specifications contained in the Solicitation Documents. Failure to comply with these documents will be at the Offeror's risk and may affect the evaluation of the Proposal.

#### **4. Clarification of solicitation documents**

A prospective Offeror requiring any clarification of the Solicitation Documents may notify the procuring UNDP entity in writing at the organisation's mailing address or fax number indicated in the RFP. The procuring UNDP entity will respond in writing to any request for clarification of the Solicitation Documents that it receives earlier than two weeks prior to the deadline for the submission of Proposals. Written copies of the organisation's response (including an explanation of the query but without identifying the source of inquiry) will be sent to all prospective Offerors that has received the Solicitation Documents.

#### **5. Amendments of solicitation documents**

At any time prior to the deadline for submission of Proposals, the procuring UNDP entity may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective Offeror, modify the Solicitation Documents by amendment.

All prospective Offerors that have received the Solicitation Documents will be notified in writing of all amendments to the Solicitation Documents.

In order to afford prospective Offerors reasonable time in which to take the amendments into account in preparing their offers, the procuring UNDP entity may, at its discretion, extend the deadline for the submission of Proposals.

### **C. Preparation of Proposals**

#### **6. Language of the proposal**

The Proposal prepared by the Offeror and all correspondence and documents relating to the Proposal exchanged by the Offeror and the procuring UNDP entity shall be written in the English language. Any printed literature furnished by the Offeror may be written in another language as long as accompanied by an English translation of its pertinent passages in which case, for purposes of interpretation of the Proposal, the English translation shall govern.

## 7. Documents comprising the proposal

The Proposal shall comprise the following components:

- (a) Proposal submission form (Annex IV);
- (b) Operational and technical part of the Proposal, including documentation to demonstrate that the Offeror meets all requirements;
- (c) Price schedule/financial proposal, completed in accordance with clauses 9 and 10 (Annex V).

## 8. Operational and technical documentation

The operational and technical part of the Proposal shall contain the following documents:

- **Description of the organization (experience, human resources, technical and managerial capacity in the related field);;**
- **Copy of registration certificate;**
- **Workplan and approach** (detailed description of methodology and activities, schedule, agenda);
- **CVs of involved consultants, including the role and tasks of each of them;**
- **Detailed Budget (presented in a separate envelope);**
- **3 Reference Letters;**
- **Other relevant documents.**

## 9. Proposal form

The Offeror shall structure the operational and technical part of its Proposal as follows:

### (a) Management plan

This section should provide corporate orientation to include the year and state/country of incorporation and a brief description of the Offeror's present activities. It should focus on services related to the Proposal.

This section should also describe the organisational unit(s) that will become responsible for the contract, and the general management approach towards a project of this kind. The Offeror should comment on its experience in similar projects and identify the person(s) representing the Offeror in any future dealing with the procuring UNDP entity.

### (b) Resource plan

This should fully explain the Offeror's resources in terms of personnel and facilities necessary for the performance of this requirement. It should describe the Offeror's current capabilities/facilities and any plans for their expansion.

### (c) Proposed methodology

This section should demonstrate the Offeror's responsiveness to the specification by identifying the specific components proposed, addressing the requirements, as specified, point by point; providing a detailed description of the essential performance characteristics proposed warranty; and demonstrating how the proposed methodology meets or exceeds the specifications.

The operational and technical part of the Proposal should not contain any pricing information whatsoever on the services offered. Pricing information shall be separated and only contained in the appropriate Price Schedules.

It is mandatory that the Offeror's Proposal numbering system corresponds with the numbering system used in the body of this RFP. All references to descriptive material and brochures should be included in the appropriate response paragraph, though material/documents themselves may be provided as annexes to the Proposal/response.

Information which the Offeror considers proprietary, if any, should be clearly marked "proprietary" next to the relevant part of the text and it will then be treated as such accordingly.

#### **10. Proposal prices**

The Offeror shall indicate on an appropriate Price Schedule/Financial Proposal, an example of which is contained in these Solicitation Documents, the prices of services it proposes to supply under the contract.

#### **11. Proposal currencies**

All prices shall be quoted in **US Dollars** and shall be exclusive of VAT.

#### **12. Period of validity of proposals**

Proposals shall remain valid for sixty (60) days after the date of Proposal submission prescribed by the procuring UNDP entity, pursuant to the deadline clause. A Proposal valid for a shorter period may be rejected by the procuring UNDP entity on the grounds that it is non-responsive.

In exceptional circumstances, the procuring UNDP entity may solicit the Offeror's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing. An Offeror granting the request will not be required nor permitted to modify its Proposal.

#### **13. Format and signing of proposals**

The Offeror shall prepare one original and two copies of the Proposal, clearly marking each "Original Proposal" and "Copy of Proposal" as appropriate. In the event of any discrepancy between them, the original shall govern.

The two copies of the Proposal shall be typed or written in indelible ink and shall be signed by the Offeror or a person or persons duly authorised to bind the Offeror to the contract. The latter authorisation shall be indicated by written power-of-attorney accompanying the Proposal.

A Proposal shall contain no interlineations, erasures, or overwriting except, as necessary to correct errors made by the Offeror, in which case such corrections shall be initialled by the person or persons signing the Proposal.

#### **14. Payment**

UNDP shall effect payments to the Contractor after acceptance by UNDP of the invoices submitted by the contractor, upon achievement of the corresponding milestones.

### **D. Submission of Proposals**

#### **15. Sealing and marking of proposals**

The Offeror shall seal the Proposal in one outer and two inner envelopes, as detailed below.

(a) The outer envelope shall be:

- addressed to –

**UNDP Moldova**  
**131, 31 August 1989 Street, MD-2012 Chisinau, Republic of Moldova**  
**Attention: UNDP Registry Office/Procurement**

and,

- marked with –

**“RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD”**

- (b) Both inner envelopes shall indicate the name and address of the Offeror. The first inner envelope shall contain the information specified in Clause 8 (*Operational and technical documentation*) and in Clause 9 (*Proposal form*) above, with the copies duly marked “Original” and “Copy”. The second inner envelope shall include the price schedule/financial proposal duly identified as such.

Note, if the inner envelopes are not sealed and marked as per the instructions in this clause, the procuring UNDP entity will not assume responsibility for the Proposal’s misplacement or premature opening.

- (c) In case of electronic submission, the Offeror shall send two messages by e-mail to the following address: [tenders-Moldova@undp.org](mailto:tenders-Moldova@undp.org)

The first e-mail message shall contain the information specified in Clause 9 (*Proposal form*) and Clause 8 (*Operational and technical documentation*) above and shall have the following subject: **“Technical Proposal for: RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD”**. The second e-mail message shall include the price schedule/financial proposal and shall have the following subject: **“Financial Proposal for: RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD”**.

**Important Note for Offerors submitting proposals in electronic format/via e-mail.**

Having prepared the Proposal in paper format as specified in Clause "D. Submission of Proposals" hereof, the entire Proposal should be scanned or otherwise converted into one or more electronic .pdf (Adobe Acrobat) format files and attached to one or more E-mails. The Subject line of the E-mail(s) should state: **“Technical Proposal: RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD”** and separate e-mail **“Financial Proposal: RFP: Support vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision – JILD”** – DO NOT OPEN IN ADVANCE. The opening of the financial proposal must be secured with the password protected ZIP archive by the Offeror, which will be given to the procuring UNDP entity upon its request after the completion of the technical proposals evaluation.

To assist procuring UNDP entity in the assurance of transparency, it is recommended that, prior to sending the Email(s), Offerors should open "Options", then "Voting and Tracking Options" and select "Request a delivery receipt for this message" AND "Request a read receipt for this message". This option path is for Microsoft Office Outlook software. Other software should offer similar options, although the path and wording might be somewhat different.

**16. Deadline for submission of proposals**

Proposals must be received by the procuring UNDP entity at the address or e-mail address specified under clause *Sealing and marking of Proposals* no later than **13 July 2010, 16:30, local time.**

The procuring UNDP entity may, at its own discretion extend this deadline for the submission of Proposals by amending the solicitation documents in accordance with clause *Amendments of Solicitation Documents*, in which case all rights and obligations of the procuring UNDP entity and Offerors previously subject to the deadline will thereafter be subject to the deadline as extended.

#### 17. Late Proposals

Any Proposal received by the procuring UNDP entity after the deadline for submission of proposals, pursuant to clause *Deadline for the submission of proposals*, will be rejected.

#### 18. Modification and withdrawal of Proposals

The Offeror may withdraw its Proposal after the Proposal's submission, provided that written notice of the withdrawal is received by the procuring UNDP entity prior to the deadline prescribed for submission of Proposals.

The Offeror's withdrawal notice shall be prepared, sealed, marked, and dispatched in accordance with the provisions of clause *Deadline for Submission of Proposals*. The withdrawal notice may also be sent by telex or fax but followed by a signed confirmation copy.

No Proposal may be modified subsequent to the deadline for submission of proposals.

No Proposal may be withdrawn in the Interval between the deadline for submission of proposals and the expiration of the period of proposal validity specified by the Offeror on the Proposal Submission Form.

### E. Opening and Evaluation of Proposals

#### 19. Opening of proposals

The procuring entity will open the Proposals in the presence of a Committee formed by the Head of the procuring UNDP entity.

#### 20. Clarification of proposals

To assist in the examination, evaluation and comparison of Proposals, the Purchaser may at its discretion, ask the Offeror for clarification of its Proposal. The request for clarification and the response shall be in writing and no change in price or substance of the Proposal shall be sought, offered or permitted.

#### 21. Preliminary examination

The Purchaser will examine the Proposals to determine whether they are complete, whether any computational errors have been made, whether the documents have been properly signed, and whether the Proposals are generally in order.

Arithmetical errors will be rectified on the following basis: If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected. If the Offeror does not accept the correction of errors, its Proposal will be rejected. If there is a discrepancy between words and figures the amount in words will prevail.

Prior to the detailed evaluation, the Purchaser will determine the substantial responsiveness of each Proposal to the Request for Proposals (RFP). For purposes of these Clauses, a substantially responsive Proposal is one which conforms to all the terms and conditions of the RFP without material deviations. The Purchaser's determination of a Proposal's responsiveness is based on the contents of the Proposal itself without recourse to extrinsic evidence.

A Proposal determined as not substantially responsive will be rejected by the Purchaser and may not subsequently be made responsive by the Offeror by correction of the non-conformity.

**22. Evaluation and comparison of proposals**

A two-stage procedure will be utilised in evaluating the proposals, with evaluation of the technical proposal being completed prior to any price proposal being opened and compared. The price schedule/financial proposal of the Proposals will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 700 points in the evaluation of the technical proposals.

The technical proposal is evaluated on the basis of its responsiveness to the Term of Reference (TOR) and the Instructions to Offerors.

In the Second Stage, the price proposal of all contractors, who have attained minimum 70% score in the technical evaluation, will be compared. The **cumulative analysis scheme** will be applied with a total score being obtained upon the combination of weighted technical and financial attributes. An Offeror’s response to the solicitation document is evaluated and points are attributed based on how well they meet the defined desirable criteria. Cost under this method of analysis is rendered as an award criterion, which will be 30% out of a total score of 1000 of all the desirable factors of the RFP. The contract will be awarded to the offeror obtaining the highest cumulative score. The following formula will be applied in calculating the cumulative score:

$$B = T + \frac{C_{low}}{C} \times 300,$$

where

*T* – is the total technical score awarded to the evaluated proposal;

*C* – is the price of the evaluated proposal; and

*C<sub>low</sub>* – is the lowest of all evaluated proposal prices among responsive proposals.

**Technical Evaluation Criteria**

Summary of Technical Proposal Evaluation Forms		Score Weight	Points Obtainable	Company / Other Entity				
				A	B	C	D	E
1.	Expertise of Firm / Organisation submitting Proposal	25%	175					
2.	Proposed Work Plan and Approach	35%	245					
3.	Personnel	40%	280					
<b>Total</b>			<b>700</b>					

Evaluation forms for technical proposals follow on the next two pages. The obtainable number of points specified for each evaluation criterion indicates the relative significance or weight of the item in the overall evaluation process. The Technical Proposal Evaluation Forms are:

Form 1: Expertise of Firm / Organisation Submitting Proposal

Form 2: Proposed Work Plan and Approach

Form 3: Personnel

Technical Proposal Evaluation Form 1			Points obtainable	Company / Other Entity				
				A	B	C	D	E
Expertise of firm / organisation submitting proposal								
1.1	Reputation of Organisation and Staff (Competence / Reliability)		35					
1.2	General Organisational Capability which is likely to affect implementation (i.e. loose consortium, holding company or one firm, size of the firm / organisation, strength of project management support e.g. project financing capacity and project management controls)		25					
1.3	Extent to which any work would be subcontracted (subcontracting carries additional risks which may affect project implementation, but properly done it offers a chance to access specialised skills).		15					
1.4	Quality assurance procedures, warranty		20					
1.5	Relevance of:		80					
	- Specialised Knowledge (social sciences, public administration, etc.)	20						
	- Experience on similar Projects in the Region	40						
	- Work for UNDP/ major multilateral/ or bilateral programmes	20						
			<b>175</b>					

Technical Proposal Evaluation Form 2			Points Obtainable	Company / Other Entity				
				A	B	C	D	E
Proposed Work Plan and Approach								
2.1	To what degree does the Offeror understand the task?		25					
2.2	Have the important aspects of the task been addressed in sufficient detail?		15					
2.3	Are the different components of the project adequately weighted relative to one another?		15					
2.4	Is the proposal based on a survey of the project environment and was this data input properly used in the preparation of the proposal?		20					
2.5	Is the conceptual framework adopted appropriate for the task?		40					
2.6	Is the scope of task well defined and does it correspond to the TOR?		80					
2.7	Is the presentation clear and is the sequence of activities and the planning logical, realistic and promise efficient implementation to the project?		50					
			<b>245</b>					

Technical Proposal Evaluation Form 3			Points Obtainable	Company / Other Entity				
				A	B	C	D	E
Personnel								
3.1	<b>Task Manager</b>		<b>140</b>					
	Sub-Score							
	<b>General Qualification</b>		<b>120</b>					
	Suitability for the Project							
	<b>International Experience</b>							
	- less than 3 years	10						
	- 3-5 years	20						
	- more than 5 years	30						

	<b>Knowledge of Transnistria Region public sector</b>	30							
	<b>Professional experience in the area of specialisation (management of community development projects, strategic planning, etc.)</b>								
	- less than 3 years	20							
	- 3-5 years	30							
	- more than 5 years	40							
	<b>Knowledge of the region</b>	20							
	<b>Language Qualifications</b>		<b>20</b>						
			<b>140</b>						
3.2	<b>Senior Expert</b>			<b>85</b>					
			Sub-Score						
	<b>General Qualification</b>		<b>70</b>						
	Suitability for the Project								
	<b>International Experience</b>								
	- less than 3 years	10							
	- 3-5 years	15							
	- more than 5 years	20							
	<b>Knowledge of Transnistria Region public sector</b>	20							
	<b>Professional experience in the area of specialisation (management of community development projects, strategic planning, technical assistance to communities, vulnerable groups, etc.)</b>								
	- less than 3 years	10							
	- 3-5 years	20							
	- more than 5 years	30							
	<b>Language Qualifications</b>		<b>15</b>						
			<b>85</b>						
3.3	<b>Junior Expert</b>			<b>55</b>					
			Sub-Score						
	<b>General Qualification</b>		<b>40</b>						
	Suitability for the Project								
	<b>International Experience</b>	10							
	<b>Professional Experience in the area of social sciences, LPA or other related fields</b>	15							
	<b>Experience in working with communities and local public bodies, vulnerable groups, NGOs.</b>	15							
	<b>Language Qualification</b>		<b>15</b>						
			<b>55</b>						
	<b>Total Part 3</b>			<b>280</b>					

## F. Award of Contract

### 23. Award criteria, award of contract

The procuring UNDP entity reserves the right to accept or reject any Proposal, and to annul the solicitation process and reject all Proposals at any time prior to award of contract, without thereby incurring any liability to the affected Offeror or any obligation to inform the affected Offeror or Offerors of the grounds for the Purchaser's action.

Prior to expiration of the period of proposal validity, the procuring UNDP entity will award the contract to the qualified Offeror whose Proposal after being evaluated is considered to be the most responsive to the needs of the organisation and activity concerned.

**24. Purchaser's right to vary requirements at time of award**

The Purchaser reserves the right at the time of award of contract to vary the quantity of services and goods specified in the RFP without any change in price or other terms and conditions.

**25. Signing of the contract**

Within 30 days of receipt of the contract the successful Offeror shall sign and date the contract and return it to the Purchaser.

**General Conditions of Contract****1. LEGAL STATUS**

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis UNDP. The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

**2. SOURCE OF INSTRUCTIONS**

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action which may adversely affect UNDP or the United Nations and shall fulfil its commitments with the fullest regard to the interests of UNDP.

**3. CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES**

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

**4. ASSIGNMENT**

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

**5. SUB-CONTRACTING**

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform with the provisions of this Contract.

**6. OFFICIALS NOT TO BENEFIT**

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

**7. INDEMNIFICATION**

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

**8. INSURANCE AND LIABILITIES TO THIRD PARTIES**

- 8.1 The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- 8.2 The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or its equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.3 The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment

owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

8.4 Except for the workmen's compensation insurance, the insurance policies under this Article shall:

- (i) Name UNDP as additional insured;
- (ii) Include a waiver of subrogation of the Contractor's rights to the insurance carrier against UNDP;
- (iii) Provide that UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

8.5 The Contractor shall, upon request, provide UNDP with satisfactory evidence of the insurance required under this Article.

## **9. ENCUMBRANCES/LIENS**

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

## **10. TITLE TO EQUIPMENT**

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

## **11. COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS**

UNDP shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights, and trademarks, with regard to products, or documents and other materials which bear a direct relation to or are produced or prepared or collected in consequence of or in the course of the execution of this Contract. At the UNDP's request, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them to UNDP in compliance with the requirements of the applicable law.

## **12. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS**

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or the United Nations, or any abbreviation of the name of UNDP or the United Nations in connection with its business or otherwise.

## **13. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION**

13.1 All maps, drawings, photographs, mosaics, plans, reports, recommendations, estimates, documents and all other data compiled by or received by the Contractor under this Contract shall be the property of UNDP, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of work under this Contract.

13.2 The Contractor may not communicate at any time to any other person, Government or authority external to UNDP, any information known to it by reason of its association with UNDP which has not been made public except with the authorization of UNDP; nor shall the Contractor at any time use such information to private advantage. These obligations do not lapse upon termination of this Contract.

## **14. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS**

14.1 Force majeure, as used in this Article, means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force which are beyond the control of the Parties.

14.2 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event which interferes or threatens to interfere with its performance of this Contract. The notice shall include steps proposed by the Contractor to be taken including any reasonable alternative means for performance that is not prevented by force majeure. On receipt of the notice required under this Article, UNDP shall take such action as, in

its sole discretion, it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract

- 14.3 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

## **15. TERMINATION**

- 15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16 "Settlement of Disputes" below shall not be deemed a termination of this Contract.
- 15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract. The Contractor shall take immediate steps to terminate the work and services in a prompt and orderly manner and to minimize losses and further expenditures.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, UNDP may, without prejudice to any other right or remedy it may have, terminate this Contract forthwith. The Contractor shall immediately inform UNDP of the occurrence of any of the above events.

## **16. SETTLEMENT OF DISPUTES**

### **16.1. Amicable Settlement**

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

### **16.2. Arbitration**

Unless, any such dispute, controversy or claim between the Parties arising out of or relating to this Contract or the breach, termination or invalidity thereof is settled amicably under the preceding paragraph of this Article within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, such dispute, controversy or claim shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining, including its provisions on applicable law. The arbitral tribunal shall have no authority to award punitive damages. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

## **17. PRIVILEGES AND IMMUNITIES**

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

## **18. TAX EXEMPTION**

- 18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with UNDP to determine a mutually acceptable procedure.
- 18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNDP before the payment thereof and UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

## **19. CHILD LABOUR**

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

## **20. MINES**

20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

## **21. OBSERVANCE OF THE LAW**

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

## **22. AUTHORITY TO MODIFY**

No modification or change in this Contract, no waiver of any of its provisions or any additional contractual relationship of any kind with the Contractor shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the authorized official of UNDP.

### United Nations Development Programme Joint Integrated Local Development Programme

#### TERMS OF REFERENCE

*Consultancy services to support vulnerable communities in strengthening their capacity to mobilize, plan, budget, implement, monitor and evaluate in a participatory manner*

#### 1. Background

Drawing upon Moldovan and global expertise in facilitating local self-governance and community-led development, UNDP and UNIFEM implement a Joint Integrated Local Development Programme (JILDP) that provides knowledge-based policy advice at central and regional levels coupled with a scaled up community-level intervention that aims to integrate local authorities into regional and community level development processes. Not only does JILDP deepen engagement with current targeted communities and local authorities, JILDP seeks to lay a foundation for regional and community-led development in selected districts and communities in target region/regions of Moldova. Actions in the project seek to secure positive change in areas of human rights, tackling social exclusion and ensuring gender equality.

At the central level and in targeted region and areas, the project aims to assist strengthening legal and regulatory frameworks and to streamline local administrative procedures and systems. There will be a particular emphasis on a draft framework for the effective delegation of authority to LPAs, fiscal decentralisation to deliver essential services, promotion of a greater role for women in decision-making bodies, securing the inclusion of vulnerable persons and groups and tackling discrimination, and heightening transparency and accountability. Secondly, the project will build the capacities of targeted LPAs to plan, implement programmes and services and monitor their success, with greater citizen participation. Paired with this, we will support greater community and civil society participation in the development and implementation of local development plans and regional strategies. The project will work to develop the capacities of local NGOs to play more influential roles in local and regional development planning, programme implementation and monitoring. It will also prioritize actions which secure equality of outcomes and heighten the inclusion of vulnerable persons and groups.

LPAs capacities are crucial to address development challenges, fulfil their responsibilities in front of citizens and efficiently deliver public services to them. Most deprived rural communities in target regions do not have enough capacities to access resources and participate in local development programmes, and vulnerable persons and communities within such communities are particularly vulnerable to exclusion. The deprived thus face compound forces of exclusion and may have extreme difficulties in accessing essential goods and/or services. Considering the difficult operating climate of rural communities, provision of services and relevant support to most vulnerable, more often, is lacking or is of a poor quality, due to scarce resources and limited capacity of the local authorities. Human rights-based approach, including gender mainstreaming, is very rarely applied or taken into account.

Local development key components of the programme:

Local Self-Governance and Participation Component: The capacity of the rayons and municipalities to plan, budget, implement, monitor and evaluate local development as well as to manage

efficiently service delivery in the targeted areas, as well as the capacity to provide services will be assessed and strengthened through applying human rights and gender equality approach.

**Community Empowerment Component:** The JILDP will encourage and facilitate active participation of community members, especially women, youth, elderly and disabled, as the primary recipients of all local development initiatives, in the local development processes and decision-making. The Joint Programme will support local communities to have better access to improved services.

**Transnistria Component:** The JILDP will be expanded to the Transnistria Region. It will cover 2 districts of the region and about 16 communities from the Transnistria region will be supported.

The Programme will be implemented and will advocate for using Human Rights Based Approach (HRBA) and Gender equality mainstreaming (GE) which calls for greater participation, accountability, transparency and non-discrimination, including activities which aim to overcome legacies of exclusion.

## **2. Objective of the assignment / Outputs**

UNDP/ILDP seeks consultancy organization with a team of consultants (hereinafter referred to as “**Consultant**”) that have potential capacity and expertise **to assist 5 rural communities of rayon Grigoriopol and town Camenca and 10 rural communities of rayon Camenca from Transnistria region, in:**

- (i) identifying actual development trends and priorities at the town and communities levels,
- (ii) elaborating Local Development Strategies and Action Plans

**The Consultant is expected to produce the following results:**

### **I. Participatory framework established and functioning:**

1. Set up the participation framework at the town and at each rural community levels.

### **II. Social-Economic Development Strategies for 2011-2015 and Action Plans for the town Camenca and each of 15 rural communities developed and approved.**

1. Analyze the social-economic situation at the town Camenca and each community levels, as well as the development trends during 2005-2009. Particular evidence will be provided for the data linked with social and human rights issues, including gender equality issues.
2. Develop the Social-Economic Development Strategies for 2011-2015 and Action Plans for the town Camenca and each community. Separate compartment on ensuring of human rights and gender equality issues should be developed. The strategies should include the monitoring and evaluation framework.
3. Identify in a participatory manner one priority from the Social-Economic Development Strategies and Action Plans of the town Camenca and each community and provide technical assistance in developing of a local development project proposal for further technical and financial support from UNDP.

## **3. Expected activities:**

### **Participatory framework established and functioning**

#### **3.1 Identification of a representative in the each of 16 communities to coordinate the process**

Although the LPAs are not the initiator of strategy development, LPA role is crucial for strategy and local development plans development. That is why it is necessary for a person or a

department/division in the each of 16 communities to be empowered with coordination responsibilities for strategy and local development plans elaboration. The Consultant shall facilitate the given process.

### **3.2 Stakeholders' Analysis**

Local stakeholders represent the persons, public and private institutions, NGOs, companies, etc., that are interested and empowered to develop the local development plans and strategy at the targeted town and community levels. All stakeholders are important: some of them would be involved more than others – that's why it is important for LPAs to be able to manage their involvement. The Consultant shall perform an analysis of stakeholders so as to identify them, find out their interests and submit suggestions to LPAs for a better involvement of stakeholders. In assessing and identifying vulnerable persons and groups, due regard will be had to UN treaty body concluding observations and Council of Europe monitoring body recommendations of relevance to local governance and local inclusion in Moldova.

### **3.3 Setting up the Participatory Councils**

The Councils should cover the key actors from targeted localities and local administrations. The Consultant shall facilitate this process and shall assist LPAs in providing institutional support to the Councils. These Councils will include representatives of particular vulnerable groups, including but not necessarily limited to persons with disabilities, Roma and other groups vulnerable to exclusion, the elderly, and other weak, marginalized or other threatened persons or groups.

## **Social-Economic Development Strategies for 2011-2015 and Action Plans for the town Camenca and each community developed and approved**

**3.4. Analyze the social-economic situation at the town and at each community levels, as well as the development trends for the period 2005-2009.** Particular evidence will be provided for the data linked with social and human rights issues, including gender equality issues.

**a) Collect and update existent data and information.**

**b) Identification of problems:** the Consultant shall identify and analyze problems and challenges which should cover but not limit to the following *sections*:

1. **Socio-economic development** (*geographical position and access, demography, labor force, private sector, economic infrastructure etc.*). Where possible, qualitative and quantitative data and information should be delivered disaggregated by ethnicity and gender.
2. **Public utilities** (*drinking water supply, sewerage, heating, natural gas, electricity, telephony*); information produced and delivered should include observations related to local inclusion and/or exclusion issues, with due regard to groups or issues included in relevant UN of Council of Europe human rights and social inclusion review bodies.
3. **Public services** (*transport, education, health, sanitation, fire police, mayoralties*); information produced and delivered should include observations related to local inclusion and/or exclusion issues, with due regard to groups or issues included in relevant UN of Council of Europe human rights and social inclusion review bodies
4. **Environment** (*situation of natural resources and environment conditions pollution, protected zones of ecological value and landscape, zones of historical, archeological and architectural value*)
5. In addition to identification of human rights, gender equality issues included in the sections above, a special section should be dedicated to **gender and human rights issues**

**c) Development trends:** the Consultant shall analyze the development trends following the list of areas mentioned above.

**d) SWOT Analysis:** the Consultant shall undertake the SWOT for the town and each community separately. In this context, at least one public debate per targeted LPA should be organized.

**e) Recommendations for strategies/programs:** Based on the obtained results and through development trends analysis, the Consultant shall come with mid-term recommendations (by sections or integrated ones) for strategies/programs.

**f) Analysis of strategies' costs:** the Consultant shall make mid-term cost estimation for these strategies/programs.

**3.5. Develop the Social-Economic Development Strategies for 2011-2015 and Action Plans for the town Camenca and each community.** Separate compartment on addressing of social and human security issues, including human rights and gender equality should be developed. The strategies should include the participatory monitoring and evaluation framework.

**a) Formulation of the development vision and strategic objectives:** The development vision represents a shared form of local policies, common values and identity, which should offer a development orientation based on which strategic development objectives could be formulated. The Consultant drafts the initial document of the vision and takes part in the public hearings.

**b) Elaboration of the Development Strategy:** The Consultant elaborates the draft of the Development Strategies, which will be subject to an impartial specialists' acceptance.

**c) Elaboration of Action Plan and related budget:** In a participatory manner, the Consultant elaborates the draft of the Action Plans and related budgets, based on strategic priorities and realities

**d) Elaboration of the participatory monitoring and evaluation plans:** The Consultant elaborates the draft of the Monitoring and Evaluation plans

**3.6. Identify in a participatory manner one priority from the Social-Economic Development Strategies and Action Plans of the town Camenca and each community and provide technical assistance in developing a local development project proposal.**

**a) Identification of one priority:** The Consultant shall identify in a participatory manner one priority from the each Social-Economic Development Strategies/Action Plans

#### **4. Methodology and Timeframe**

The Consultant shall follow the detailed formulated methodology and approaches developed by UNDP to be undertaken for the implementation of current assignment and a detailed timeframe for each activity.

The Contract duration shall not exceed the period of 6 months.

#### **5. Deliverables**

- 16 Socio-economic analysis,
- 16 Socio-Economic Development Strategies and
- 16 Action Plans developed
- 16 Local development projects developed

Note: All deliverables shall be in Russian language.

## **6. Eligibility**

UNDP seeks consultancy organization with a team of consultants that have:

- At least 5 years of experience of consultancy in local development, capacity development activities, NGOs, strategic planning, monitoring and evaluation at the local level;
- Proven record of undertaking functional and socio-economic analysis at the local level;
- Relevant experience in Moldova including Transnistria region would be an advantage;
- Excellent analytical and report writing skills;
- Familiarity with human rights based approaches, as well as with international and regional human rights and social inclusion monitoring frameworks;
- Ability to communicate in Russian would be an advantage.
- Familiarity with one or more minority languages relevant for Moldova, including Romani, sign languages or other languages is also an asset.



## PRICE SCHEDULE/FINANCIAL PROPOSAL

The Contractor is asked to prepare the Price Schedule/financial proposal and submit it in a separate envelope from the rest of the RFP response as indicated in Section D paragraph 15 (b) of the Instruction to Offerors.

All prices/rates quoted must be exclusive of all taxes, since the UNDP is exempt from taxes as detailed in Annex II, Clause 18. '

The Price Schedule/financial proposal must provide a detailed cost breakdown. Provide separate figures for each functional grouping or category.

Estimates for cost-reimbursable items, if any, such as travel, and out of pocket expenses should be listed separately.

In case of an equipment component to the service provided, the Price Schedule should include figures for both purchase and lease/rent options. The UNDP reserves the option to either lease/rent or purchase outright the equipment through the Contractor.

The format shown on the following pages should be used in preparing the price schedule. The format includes specific expenditures, which may or may not be required or applicable but are indicated to serve as examples.

In addition to the hard copy, if possible please also provide the information on CD (IBM compatible).

<b>Price Schedule:</b>					
<b>Ref. Support for vulnerable communities (Transnistria Region) for better planning, budgeting, monitoring, evaluation and service provision</b>					
<b>Description of Activity/Item</b>		<b>Number of person/un its</b>	<b>Number of days/month/units</b>	<b>Rate per day/month /units (USD)</b>	<b>Estimate Amount (USD)</b>
<b>1</b>	<b>Participatory framework established and functioning</b>				
1.1.	Event organizing (catering for participants)				
1.2.	Remuneration of the personnel involved				
1.3.	Others (please specify)				
1	Sub-total				
<b>2</b>	<b>Training in planning, project proposal development and resource mobilization</b>				
2.1.	Organization of events (including accommodation and Per Diem Allowances)				
2.2.	Remuneration of the personnel involved				
2.3.	Others (please specify)				
2	Sub-total				
<b>3</b>	<b>Analysis of Social-Economic situation for 2005-2009 (community profile)</b>				
3.1.	Organization of events (including accommodation and Per Diem Allowances)				
3.2.	Remuneration of the personnel involved				
3.3.	Others (please specify)				

3	Sub-total				
4	<b>Develop the Social-Economic Development Strategies for 2011-2015 and Action Plans</b>				
4.1.	Organization of events (including accomodation and Per Diem Allowances)				
4.2.	Remuneration of the personnel involved				
4.3.	Others (please specify)				
4	Sub-total				
5	<b>Management Costs</b>				
5.1.	Specify				
5	Sub-total				
	<b>TOTAL</b>				